

Central Depository Services (India) Limited

Convenient # Dependable # Secure COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2023/13

January 6, 2023

AMENDMENTS TO CDSL's DP OPERATING INSTRUCTIONS

DPs are advised to note that amendments have been affected in CDSL DP Operating Instructions Chapter-8: Pledge / Unpledge / Invocation, Annexure 8.1 and Chapter-19: Encumbrances Annexure 19.1 to incorporate the pledge reason and the PAN of the ultimate Lender in accordance with SEBI circular no. CIR/MRD/DP/56/2017 dated June 14, 2017.

DPs are advised to take note of the same and ensure compliance.

Queries regarding this communiqué may be addressed to: CDSL – Helpdesk.Emails may be sent to: helpdesk@cdslindia.com and telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041, 2300-2033 or 08069144800.

sd/-

Nilesh Shah Assistant Vice President – Operations

CDSL: your depository
KEYWORD: Operating Instruction

PLEDGE REQUEST FORM (PRF)

□ Setup of Pledge □ Confirmation of Pledge	☐ Setup of I	Pledae [\Box C	onfirmation	of	Pledae
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	☐ Setup of Pledge ☐ Confirmation of Pledge												
Depository Participant Name /Address													
Please fill all the details in Block Letters in English													
PRF							Date		D D		/ Y Y	YY	
I/We request you to create the pledge / confirm the creation of pledge for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.													
	r's Details												
DP ID Pledgor's	s Name 1. 2. 3.					Client	ID						
Pledge	e's Details												
DP ID						Client	ID						
Pledgee'	2. 3.												
Details	of Securities:	☐ Free	Secur	ities 🗆	Locke	ed-in Se	curitie	S					
Details of Securities offered for Pledge.													
Sr. no	ISIN	Compai Name		Quantity		Date of Release (lock-in)		PSN (System Generated)		Reje	epted / ected by edgee	Pledged value	
Attach an annexure duly signed by the account holder(s), if the space above is insufficient.													
Pledge Execution Date				D M M Y						Υ	Υ	Υ	
Pledge	Pledge Expiry Date			D M M						Υ			
	edge Value (Rs.)												
Agreem Date of	ent No. Pledging	D		D		/	M)	/	Y	Υ	Υ	
Pledge	Reason [tick	Collateral	-Debt	I Coll	ateral	for	Collate	ral		<u> 1argin</u>		ersonal use	
	mark whichever is applicable] issuance by loan by loan by the Co./Grp Co. Company/Group Third Party for Exchange and PACs						promoters						
<u>аррисан</u>	Co Trade												
	f the Ultimate Le												
Note: As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.													
To be filled and signed in case of Set-up of Pledge by Pledgor BO													
Signature of the Pledgor													
Signat	First/ Sole Applicant Second Applicant Third Applicant												
To be filled in case of acceptance of Pledge by Pledgee BO													
Signat	ure of Pledgee(s	s) *			-								
_	First Holder			Se	cond I	Holder				Third	l Holder		

^{*} If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

Depository Participant Seal and Signature

FORM FOR RECORDING ENCUMBRANCES CREATION / CANCELLATION FORM

		sitory P	articip	ant Na	ame /	/Add	ress								
Please fill all the details in Block Lette	ers in E	nglish			Date			Р	Р	N/I	M			V I	V
Encumbrance No. Type of Encumbrance	Fncu	mbranc	e CAN	CFLLA	Date TION			D	D	[٧]	[V]	Ϋ́	Y	Ý	Ý
NDU Lien						`			<u>L</u>		<u> </u>		_		
	Elicu	cumbrance ID (System generated)													
Other Encumbrance	Deta	nils of Other Encumbrance											_		
(tick whichever not applicable)						-									
I/We request you to create / cancel demat account. I/We have read and agree to abide by and be bound by the Creating NDU / Lien / CEncumbrance)	unders e Act ar nolder	tood the	SEBI	directio	ns in	relat	ion t	o en	cumb	rance	e of s	secur	rities	and	
Account Holder Name		1.													
Account Holder Hame		2.													
		3.													
Account Holder - Email ID															
Account Holder (account holder ID in whose favor encumbrance															
/Lien/NDU is to be created)	ance														
Account Holder Name in whose		1.		l	_U		PA	N	1	1			l	I	
favor encumbrance /Lien/NDU i	2. PAN														
be created	3.					PA	N								
Account Holder in whose favor encumbrance /Lien/NDU is to be created - Email ID	e														
ISIN															
ISIN Name															
Details of Securities Free Securit	ties:														
Quantity to be frozen for debit:															
NDU / Lien / encumbrance / Remarks:															
NDU /Lien / encumbrance - Reason [tick mark whichever is applicable]		Collat Debt issuar by Co/Gr	<u>nce</u>	for lo	iteral pan b pany, p Co	y <u>f</u>	Colla for lo the 1 Party	oan I Thirc	by d	Marc Pled F for exch trad	ge/M r nang		use pro	sona by mote PAC	ers
PAN of the Ultimate Lender :															
Unfreeze /Cancellation Date *		D	D	M		M		Υ		Υ		Υ		Υ	
[*Note: Unfreeze will be effected after 2 cleans of the count Holder I, hereby declare that I am associated () (Tick wherever applicable) Signature of Account Holder [s]	:			hose se	ecuritie	es are	e bei	ng fr	ozen	as Pr	romot	ter ()/(Comp	any (
First Holder		Second Holder						Third Holder							
iot iiotadi		56	JU114 1								. 4 11	J.40	-		

Declaration from Lender:

I, the lender hereby declare that I am associated with the Company whose securities are being frozen as Promoter () / Company () / Not related () $^{\prime}$

Signature Account Holder [s] in whose favor encumbrance /Lien/NDU is to be created \ast

First Holder	Second Holder	Third Holder

DP to ensure that signatures of both parties are available to initiate action

Depository Participant Seal and Signature