



# Central Depository Services (India) Limited

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## COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

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CDSL/OPS/DP/POLCY/2023/13

January 6, 2023

### AMENDMENTS TO CDSL's DP OPERATING INSTRUCTIONS

DPs are advised to note that amendments have been affected in CDSL DP Operating Instructions **Chapter-8: Pledge / Unpledge / Invocation**, Annexure 8.1 and **Chapter-19: Encumbrances** Annexure 19.1 to incorporate the pledge reason and the PAN of the ultimate Lender in accordance with SEBI circular no. CIR/MRD/DP/56/2017 dated June 14, 2017.

DPs are advised to take note of the same and ensure compliance.

Queries regarding this communiqué may be addressed to: CDSL – Helpdesk. Emails may be sent to: [helpdesk@cdslindia.com](mailto:helpdesk@cdslindia.com) and telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041, 2300-2033 or 08069144800.

sd/-

**Nilesh Shah**  
Assistant Vice President – Operations

**PLEDGE REQUEST FORM (PRF)** Setup of Pledge  Confirmation of Pledge

Depository Participant Name /Address

Please fill all the details in **Block Letters** in English

PRF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to **create the pledge / confirm the creation of pledge** for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

Pledgor's Details											
DP ID										Client ID	
Pledgor's Name	1. 2. 3.										

Pledgee's Details											
DP ID										Client ID	
Pledgee's Name	1. 2. 3.										

**Details of Securities:**  Free Securities  Locked-in Securities

Details of Securities offered for Pledge.							
Sr. no	ISIN	Company Name	Quantity	Date of Release (lock-in)	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

<b>Pledge Execution Date</b>	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
Total Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y
Pledge Reason [tick mark whichever is applicable]	Collateral -Debt I issuance by Co./Grp Co.	Collateral for loan by Company/Group Co.	Collateral for loan by the Third Party	Margin Pledge/MTF for Exchange Trade	Personal use by promoters and PACs			
<b>PAN of the Ultimate Lender :</b>								

*Note : As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.*

**To be filled and signed in case of Set-up of Pledge by Pledgor BO**

Signature of the Pledgor		
First/ Sole Applicant	Second Applicant	Third Applicant

**To be filled in case of acceptance of Pledge by Pledgee BO**

Signature of Pledgee(s) *		
First Holder	Second Holder	Third Holder

\* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

**Depository Participant Seal and Signature**



**Declaration from Lender:**

I, the lender hereby declare that I am associated with the Company whose securities are being frozen as Promoter ( ) / Company ( ) / Not related ( )

**Signature Account Holder [s] in whose favor encumbrance /Lien/NDU is to be created \***

First Holder	Second Holder	Third Holder

*DP to ensure that signatures of both parties are available to initiate action*

**Depository Participant Seal and Signature**