



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/AUDIT/DP/POLICY/2023/58

January 25, 2023

MODIFICATION IN CYBER SECURITY AND CYBER RESILIENCE FRAMEWORK FOR DEPOSITORY PARTICIPANTS

Depository Participants (DPs) are advised to refer to Communique CDSL/OPS/DP/POLCY/2022/323 dated June 09, 2022, enclosed with SEBI Circular no. SEBI/HO/MIRSD/TPD/P/CIR/2022/80 dated June 07, 2022, regarding modification in Cyber Security and Cyber resilience framework for Stock Brokers / Depository Participants.

As per modified para 42 of the above-mentioned SEBI Circular: -

“Stock Brokers / Depository Participants shall conduct VAPT at least once in a financial year. All Stock Brokers / Depository Participants are required to engage only CERT-In empaneled organizations for conducting VAPT. The final report on said VAPT shall be submitted to the Stock Exchanges / Depositories after approval from Technology Committee of respective Stock Brokers / Depository Participants, within 1 month of completion of VAPT activity.”

With respect to the above provision, it may be noted that DPs are required to submit VAPT report after approval from Technology Committee of respective DPs on or before February 15, 2023 for the FY 2022-2023. The Manual for submission of the report is enclosed as **Annexure A**.

Further, DPs are requested to fix all the vulnerabilities reported in the VAPT and conduct revalidation assessment and submit the report to CDSL on or before March 31, 2023. It may be noted that the revalidation VAPT report should be submitted to CDSL after approval from Technology Committee of respective DPs.

Please note that VAPT shall be carried out and completed during the period September to November of every financial year and the final report on said VAPT shall be submitted to the CDSL within one month from the date of completion of VAPT after approval from Technology Committee of respective DPs.

DPs are requested to take note of the above and ensure compliance.

Queries regarding this communiqué may be addressed to: CDSL – Helpdesk. Emails may be sent to: helpdesk@cdslindia.com and telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041, 2300-2033 or 08069144800.

sd/-

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Annexure A

Vulnerability Assessment and Penetration testing (VAPT) Report

-In VAPT report. All sections are divided into separate section as per given report format of SEBI- Annual report.

- DP IT Official can save multiple VAPT report which occur during the respective year.

Step 1- Log in Into Audit application by using the below link: -

<https://auditweb.cdslindia.com/Login.aspx>

- Now Sign in using 'Login Type-Designated officer'.
- Now enter User ID & Password and click on "Sign In" button.



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Step 2- Select audit type “VAPT Report” from the Drop down.

The screenshot shows the 'AUDIT APPLICATION' form on the Central Depository Services (India) Limited website. The 'Reports' section is active. The 'Select Audit Type' dropdown menu is open, showing options: 'CYBER SECURITY (INTELLIGENCE AND MACHINE LEARNING REPORT)', 'CYBER SECURITY REPORT', 'OTHER SECURITY ANALYSIS REPORT', and 'VAPT REPORT'. The 'VAPT REPORT' option is highlighted with a red box. Below the dropdown, the 'Confirm' button is also highlighted with a red box. The 'Select Audit Month' and 'Select DP / RTA' fields are visible but not yet filled.

Step 3- Select ‘Audit Month’ from the Dropdown & Select DP/RTA as per your relevant audit month and the Name with the corresponding DP ID.

-Click on “Confirm” button.

The screenshot shows the 'AUDIT APPLICATION' form on the Central Depository Services (India) Limited website. The 'Reports' section is active. The 'Select Audit Type' dropdown menu is now closed and shows 'VAPT REPORT'. The 'Select Audit Month' dropdown menu is open, showing options: 'January', 'February', 'March', 'April', 'May', 'June', 'July', 'August', 'September', 'October', 'November', and 'December'. The 'Select DP / RTA' dropdown menu is also open, showing a list of DP/RTA names. The 'Confirm' button is highlighted with a red box. The 'View Audit Report' and 'Go to Login' buttons are visible at the bottom.



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Step 4- Manually enter the Period when VAPT was conducted.

The screenshot shows the 'CYBER VAPT REPORT' form in the CDSL application. The 'Period when VAPT was conducted' field is highlighted with a red box, and the value '2022-2023' is entered. Other fields include 'Audit Type: CYBER VAPT REPORT', 'DP Name/ID: BANKERS VENTURE PRIVATE LIMITED', and 'Schedule No: 2022102115'. A table at the bottom shows columns for 'TYPE', 'INTERNAL', 'EXTERNAL', 'THREAT DATA USE', 'VENDOR DATA USE', and 'DATE OF INTERNAL/EXTERNAL/THREAT DATA USE'.

Step5- Now add details of the VAPT vendor under field “VAPT Conducted by”.

The screenshot shows the 'CYBER VAPT REPORT' form with the 'VAPT Conducted by' field highlighted in red. The value 'BANKERS VENTURE PRIVATE LIMITED' is entered in this field. The 'Period when VAPT was conducted' field now contains '2022-2023'. The table at the bottom is the same as in the previous screenshot.



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Step 6- Now click on the Checkbox “Is VAPT vendor CERT-In empaneled” (if applicable).

TYPE	FINDING	SEVERITY	TARGET DATE FOR CLOSURE OF FINDING (DD/MM/YYYY)	CONFIRMATORY TEST DATE (DD/MM/YYYY)	STATUS OF FINDING POST CONFIRMATORY TEST	REMARKS

Step 7- Now click on “Add VAPT Details’ button” and fill the following details in the given

- Type
- Finding
- Severity
- Target date for closure of finding (DD/MM/YYYY)
- Confirmatory Test date to review status of finding (DD/MM/YYYY)
- Status of finding post confirmatory test
- Remarks.



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CYBER VAPT REPORT

Audit Type: CYBER VAPT REPORT DP Name: KAMRANGH EVYVOD PRIVATE LIMITED

Schedule No: 2022122118 DP ID: 95206

Last date of Submission: 31-Mar-2023. If the report is submitted after this date, then it will be treated as Late Submission.

Period when VAPT was conducted: 2022-2023

VAPT conducted by: [Text Field]

Is VAPT vendor CERT-IN registered: [Yes]

Attach report or files

TYPE	FROM	TO	CREDIT	DEBIT	BALANCE	REMARKS

Step 8- Now click on “Attach files”.

File Name	Type	Size	Upload Status
file1	pdf	100 KB	Success
file2	jpg	50 KB	Success
file3	docx	200 KB	Success
file4	xlsx	150 KB	Success
file5	txt	5 KB	Success
file6	zip	100 KB	Success
file7	mp3	3 MB	Success
file8	mp4	10 MB	Success
file9	avi	50 MB	Success
file10	mov	100 MB	Success

Attach files [Submit] [Cancel]



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Step 9- Now click on “Choose file” option.



Step 10- Now click on “Upload” button and Upload the VAPT report. Post uploading, you will get a pop up from the page saying “ VAPT details saved successfully” that ensures that the file has been uploaded successfully.

