Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

MODIFICATION IN CYBER SECURITY AND CYBER RESILIENCE FRAMEWORK FOR DEPOSITORY PARTICIPANTS

Depository Participants (DPs) are advised to refer to Communique CDSL/OPS/DP/POLCY/2022/323 dated June 09, 2022, enclosed with SEBI Circular no. SEBI/HO/MIRSD/TPD/P/CIR/2022/80 dated June 07, 2022, regarding modification in Cyber Security and Cyber resilience framework for Stock Brokers / Depository Participants.

As per modified para 42 of the above-mentioned SEBI Circular: -

"Stock Brokers / Depository Participants shall conduct VAPT at least once in a financial year. All Stock Brokers / Depository Participants are required to engage only CERT-In empaneled organizations for conducting VAPT. The final report on said VAPT shall be submitted to the Stock Exchanges / Depositories after approval from Technology Committee of respective Stock Brokers / Depository Participants, within 1 month of completion of VAPT activity."

With respect to the above provision, it may be noted that DPs are required to submit VAPT report after approval from Technology Committee of respective DPs on or before February 15, 2023 for the FY 2022-2023. The Manual for submission of the report is enclosed as **Annexure A.**

Further, DPs are requested to fix all the vulnerabilities reported in the VAPT and conduct revalidation assessment and submit the report to CDSL on or before March 31, 2023. It may be noted that the revalidation VAPT report should be submitted to CDSL after approval from Technology Committee of respective DPs.

Please note that VAPT shall be carried out and completed during the period September to November of every financial year and the final report on said VAPT shall be submitted to the CDSL within one month from the date of completion of VAPT after approval from Technology Committee of respective DPs.

DPs are requested to take note of the above and ensure compliance.

Queries regarding this communiqué may be addressed to: CDSL – Helpdesk. Emails may be sent to: helpdesk@cdslindia.com and telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041, 2300-2033 or 08069144800.

sd/-

Ajit Prabhu
Sr. Manager - Audit, Inspection & Compliance

Annexure A

Vulnerability Assessment and Penetration testing (VAPT) Report

-In VAPT report. All sections are divided into separate section as per given report format of SEBI- Annual report.

- DP IT Official can save multiple VAPT report which occur during the respective year.

Step 1- Log in Into Audit application by using the below link: -

https://auditweb.cdslindia.com/Login.aspx

- Now Sign in using 'Login Type-Designated officer'.
- Now enter User ID & Password and click on "Sign In" button.

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Step 2- Select audit type "VAPT Report**"** from the Drop down.

Step 3- Select 'Audit Month' from the Dropdown & Select DP/RTA as per your relevant audit month and the Name with the corresponding DP ID.

-Click on "Confirm" button.

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Step 4- Manually enter the Period when VAPT was conducted.

Step5- Now add details of the VAPT vendor under field "VAPT Conducted by".

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Step 6- Now click on the Checkbox "Is VAPT vendor CERT-In empaneled" (if applicable).

Step 7- Now click on "Add VAPT Details' button" and fill the following details in the given

a) Type

b) Finding

c) Severity

d) Target date for closure of finding (DD/MM/YYYY)

e) Confirmatory Test date to review status of finding (DD/MM/YYYY)

e) Status of finding post confirmatory test

f) Remarks.

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Step 8- Now click on "Attach files".

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Step 9- Now click on "Choose file" option.

Step 10- Now click on "Upload" button and Upload the VAPT report. Post uploading, you will get a pop up from the page saying '' VAPT details saved successfully" that ensures that the file has been uploaded successfully.

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